



ITW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Chia-Ta Hsieh  
Application Serial No.: 10/631,842  
Filed: July 31, 2003  
For: Method to Form Self-Aligned Floating Gate to Diffusion Structures in Flash  
Patent No.: Unassigned  
Issue Date: Unassigned

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**CERTIFICATE UNDER 37 C.F.R. §3.73(b)  
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**

1. The assignee of the entire right, title and interest hereby seeks to take action in the PTO in this matter.

**IDENTIFICATION OF ASSIGNEE**

2. The assignee of this matter is:

**TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY, LTD.**  
8, Li-Hsin Rd. 6  
Hsinchu Science Park  
Hsinchu, Taiwan 300-77, R.O.C.

**PERSON AUTHORIZED TO SIGN**

3. Daniel R. McClure  
Attorney for Assignee

4. A chain of title from the inventor(s) to the current assignee is shown below:

Recorded in PTO: See Exhibit A evidencing assignment that has already been filed. No Reel and Frame has been assigned as of yet.

**DECLARATIONS**

5. I, the undersigned, have reviewed all the documents in the chain of title of the

☒ application  
☐ patent

matter identified above and, to the best of my knowledge and belief, title is in the assignee identified above.

6. I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

7. I, the person signing below, aver that I am empowered to sign this statement on behalf of the assignee.



**Daniel R. McClure, Reg. No. 38,962**

Tel. No. 770-933-9500

Customer No.: 24504

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**

100 Galleria Parkway, Suite 1750  
Atlanta, Georgia 30339-5948

Docket No. 252016-2390

Office

## PATENTS ONLY

TS02-228

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

CHIA TA HSIEH

Additional name(s) or conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance



Assignment



Merger



Security Agreement



Change of Name



other \_\_\_\_\_

Execution Date: 6/15/03

2. Name and address of receiving party(ies):

Name: Taiwan Semiconductor Manufacturing Company

Internal Address:

Street Address:

121 Park Ave. 3  
Science-Based Industrial Park  
City: Hsin-Chu Zip: \_\_\_\_\_  
Country: Taiwan, R.O.C.Additional name(s) & address(es) attached? Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 6/15/03A. Patent Application No(s)B. Patent No.(s)Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GEORGE O. SAILE

Internal Address: \_\_\_\_\_

Street Address: 28 DAVIS AVENUECity: POUGHKEEPSIE State: NY ZIP: 126036. Total no. of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00

Enclosed



Authorized to be charged to deposit acct.

8. Deposit account number: 19-0033

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document

STEPHEN B. ACKERMAN

Name of Person Signing

Signature

7/31/03

Date

TS02-228

For ☒ U.S. and/or ☒ Foreign Rights

For ☒ Application or ☐ U.S. Patent

By ☒ Inventor(s) or ☐ Present Owner

### ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

#### ASSIGNOR:

CHIA-TA HSIEH  
Type or print name of ASSIGNOR  
No 7, Lane 137, Tung-Feng Rd.,  
Address  
Tainan, Taiwan  
Republic of China, Taiwan  
Nationality

#### ASSIGNEE:

Taiwan Semiconductor Manufacturing Co. Ltd.  
No. 6, Li-Hsin Rd. 6  
Science-Based Industrial Park  
Hsin-Chu, Taiwan, 300-77 R.O.C.  
Taiwan, R.O.C.  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_%) interest for the United States and its territorial possessions  
(check the following box if foreign rights are also to be assigned)  
☒ and in all foreign countries

in and to any and all improvements which are disclosed in the invention entitled:

A Method To Form Self-Aligned Floating Gate To Diffusion Structures In Flash

and which is found in

- (a) ☒ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
(c) ☐ U.S. application serial no. \_\_\_\_\_ filed on \_\_\_\_\_  
(d) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_  
(e) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority  
*(also check (e) if foreign application(s) is also being assigned)*

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute, thereof, and as to letters patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I / We have signed this

6/15/2003

(Date of signing)

WARNING Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Chia-Ta Hsieh  
Signature of ASSIGNOR(S)

If ASSIGNOR is a legal entity complete the following information

\_\_\_\_\_  
Type or print the name of the above person authorized to sign on behalf of ASSIGNOR

\_\_\_\_\_  
Title

Note: No witnessing, notarization or legalization is necessary, if the assignment is notarized or legalized then it will only be prima facie evidence (35 USC 261). Use next page if notarization is desired.

\_\_\_\_\_  
Notarization of Legalization Page Added.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application:

Application No.:

Filed:

Title:

Commissioner for Patents

Washington, D.C. 20231

**POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST**  
**(REVOCATION OF PRIOR POWERS)**

As assignee of record of each of the patent applications listed in the table of attachment A,

**REVOCATION OF PRIOR POWERS OF ATTORNEY**

all powers of attorney previously given in each of the listed patent applications are hereby revoked, and

**NEW POWER OF ATTORNEY**

the following attorneys/agents are hereby appointed to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: I hereby appoint all attorneys of Thomas, Kayden, Horstemeyer & Risley, LLP, who are listed under the USPTO Customer Number shown below as the attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, recognizing that the specific attorneys listed under that Customer Number may be changed from time to time at the sole discretion of Thomas, Kayden, Horstemeyer & Risley, LLP, and request that all correspondence about the application be addressed to the address filed under the same USPTO Customer Number.

**000047390**

Patent Trademark Office

Please direct all future correspondence and telephone calls to:

**Daniel R. McClure, Reg. No. 38,962**

**THOMAS, KAYDEN, HORSTEMEYER & RISLEY, L.L.P.**

100 Galleria Parkway, Suite 1750

Atlanta, Georgia 30339

770-933-9500

**ASSIGNEE OF ENTIRE INTEREST**

**TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY, LTD.**

8, Li-Hsin Rd. 6

Hsinchu Science Park

Hsinchu, Taiwan 300-77, R.O.C.

**ASSIGNEE CERTIFICATION**

The certification under 37 C.F.R. §3.73(b) establishing the right of assignee to take action is attached hereto along with documentation evidencing same. Further, in my official position with Taiwan Semiconductor Manufacturing Company, Ltd., I am authorized to sign documents and otherwise act on its behalf in connection with the management and handling of patent applications and other intellectual property matters.

Date:

Nov. 25, 2004

Chien-Wei (Chris) Chou

Chien-Wei (Chris) Chou

Director - Intellectual Property Division

## Attachment A

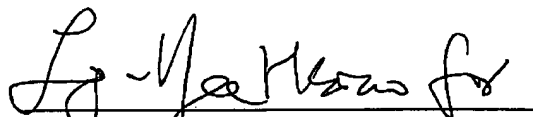
No.	Serial No	TSMC No	Application Title	Filing Date	Assignment (Reel/Frame)
1	10/140,647	2001-0941	Prober Index Control for Remote Debugging by Web Browser	5/7/02	012902/0473
2	10/725,810	2001-0972	Method of the Adjustable Matching Map System in Lithography	12/2/03	014761/0759
3	10/694,426	2001-1094	Method of a Floating Pattern Loading System in Mask Dry-Etching Critical Dimension Control	10/27/03	015067/0473
4	10/672,403	2001-1457	Algorithms Tuning for Dynamic Lot Dispatching in Wafer and Chip Probing	9/26/03	014554/0202
5	10/286,626	2001-1265	Application of Impressed-Current Cathodic Protection to Prevent Metal Corrosion and Oxidation	11/2/02	013469/0539
6	10/134,820	2001-1349	Method for Measuring Gate-To-Body Current of Floating-Body PD SOI MOS Devices	4/26/02	012869/0369
7	10/822,197	2001-1440C	Embedded DRAM for Metal-Insulator-Metal (MIM) Capacitor Structure	4/9/04	Recorded 013982/0163 at the parent application USP6,720,232
8	10/749,698	2001-1510	Multivariate RBR Tool Aging Adjuster	12/31/03	014877/0720
9	10/081,985	2001-0725	Adjustment of N and K Values in a Darc Film	2/21/02	012644/0807
10	10/788,173	2001-1543	Chip Probing Equipment and Test Modeling for Next Generation MES(300MM)	2/26/04	015033/0283
11	10/631,842	2002-0228	Method to Form Self-Aligned Floating Gate to Diffusion Structures in Flash	7/31/03	Filed 7/31/03
12	10/406,122	2001-0938	High Performance Color Filter Process for Image Sensor	4/3/03	014181/0899
13	10/420,594	2001-0452B	Method to Fabricate Self-Aligned Source and Drain in Split Gate Flash	4/22/03	Recorded 012656/0769 at the parent application USP6,573,142
14	10/189,874	2001-0427	SCR-ESD Structures with Shallow Trench Isolation	7/5/02	013086/0425
15	10/726,105	2001-0427B	SCR-ESD Structures with Shallow Trench Isolation	12/2/03	Recorded 013086/0425 at the parent application USP6,720,622



16	10/810,965	2001-0413C	Novel Method to Improve Bump Reliability for Flip Chip Device	3/26/04	Recorded 012573/0276 at the parent application USP6,756,294
17	10/058,474	2001-0353	Electronic Customs Release Slip (E-CRS)	1/28/02	012553/0539
18	10/725,852	2001-0088B	Effective Vcc to Vss Power ESD Protection Device	12/2/03	Recorded 014859/0845 at the parent application USP6,682,993
19	10/357,136	2001-0043B	Novel Low Leakage Current Cascaded Diode Structure	2/3/03	Recorded 012326/0168 at the parent application USP6,537,868
20	10/626,778	2000-0659B	Novel Test Structure for Detecting Bridging of DRAM Capacitors	7/24/03	Recorded 011732/0773 at the parent application USP6,617,180
21	10/186,579	2000-0307B	Lossless Co-Planar Wave Guide in CMOS Process	7/1/02	Recorded 011498/0374 at the parent application USP6,465,367
22	10/272,086	2002-0227	Method to Form Self-Aligned Split Gate Flash with L-Shaped Wordline Spacers	10/16/02	013408/0312

Date:

Nov. 25, 2004



Chien-Wei (Chris) Chou  
Director - Intellectual Property Division